



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John Stefanik

Application No.: 09/751,468  
 Filed: December 29, 2000

Group No. 2636  
 Examiner: D. Previl  
 Confirmation No. 7168

For: REMOTE CONTROL DEVICE WITH ILLUMINATION

Mail Stop: Petition  
 Commissioner for Patents  
 P.O. Box: 1450  
 Alexandria, VA 22313-1450

## EXPRESS MAIL CERTIFICATE

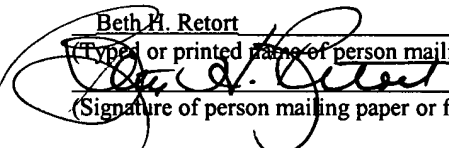
"Express Mail" label number ED249800333USDate of Deposit October 5, 2004

I hereby certify that the following attached paper or fee:

**PETITION TO WITHDRAWAL FROM ISSUE UNDER 37 C.F.R. § 1.313(c)(2)**  
**With copy of REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL**  
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is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: **Mail Stop: Petition, Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450.**

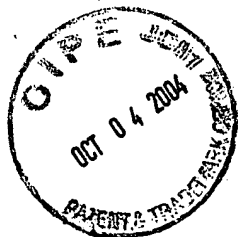
Beth H. Retort  
 (Typed or printed name of person mailing paper or fee)

  
 (Signature of person mailing paper or fee)

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(Express Mail Certificate [8-3])



PATENT  
00337

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Stefanik. )  
Serial No.: 09/751,468 ) Examiner: D. Previl  
Filing Date: December 29, 2000 ) Art Unit: 2632

Title: REMOTE CONTROL DEVICE WITH ILLUMINATION

PETITION TO WITHDRAWAL FROM ISSUE  
UNDER 37 C.F.R. § 1.313(c)(2)

Pittsburgh, Pennsylvania 15222  
October 5, 2003

Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby petitions for the withdrawal of the above-identified application ("Subject Application") from issue under 37 C.F.R. § 1.313(c)(2). The issue fee for the Subject Application was paid on November 19, 2003. Accompanying this petition is the appropriate petition fee set forth in 37 C.F.R. § 1.17(h). The reason for withdrawal from issue is for consideration of an information disclosure statement to be filed by the applicants. The information disclosure statement will contain references not previously considered by the Examiner in the Subject Application.

This petition is being filed concurrently with and made subject to a request for continued examination ("RCE") under 37 C.F.R. § 1.114 and the above-mentioned information

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01 FC:1460 130.00 OP

disclosure statement (IDS). A copy of the RCE transmittal form and a copy of the IDS are attached hereto as Exhibit A.

The Commissioner is hereby authorized to charge any fees or fee deficiencies for either the petition or the RCE to Charge Account No. 11-1110.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Mark Knedeisen", with a long horizontal flourish extending to the right.

Mark G. Knedeisen  
Reg. No. 42,747

KIRKPATRICK & LOCKHART LLP  
Henry W. Oliver Building  
535 Smithfield Street  
Pittsburgh, PA 15222

Ph. (412) 355-6342  
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: John Stefanik

Application No.: 09/751,468  
Filed: December 29, 2000

Group No. 2636  
Examiner: D. Previl  
Confirmation No. 7168

For: REMOTE CONTROL DEVICE WITH ILLUMINATION

Mail Stop: RCE  
Commissioner for Patents  
P.O. Box: 1450  
Alexandria, VA 22313-1450

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Date of Deposit October 5, 2004

I hereby certify that the following attached paper or fee:

**REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL  
INFORMATION DISCLOSURE STATEMENT; PTO FORM SB/08A  
and Copies of References Cited-Attached  
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Beth H. Retort

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(Signature of person mailing paper or fee)

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NOTE: The label number need not be placed in each page. It should, however, be placed on the first page of each separate document, such as, a new application, amendment, assignment, and transmittal letter for a fee, along with the certificate of mailing by "Express Mail." Although the label number may be on checks, such a practice is not required. In order not to deface formal drawings it is suggested that the label number be placed on the back of each formal drawing or the drawings be accompanied by a set of informal drawings on which the label number is placed.

**(Express Mail Certificate [8-3])**

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PTO/SB/30 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031  
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## Request for Continued Examination (RCE) Transmittal

Address to:  
Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Application Number	09/751,468
Filing Date	December 29, 2000
First Named Inventor	Stefanik
Art Unit	2632
Examiner Name	D. Previl
Attorney Docket Number	00337

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
- i. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- ii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
- i. ☐ Amendment/Reply
- ii. ☐ Affidavit(s)/ Declaration(s)
- iii. ☒ Information Disclosure Statement (IDS)
- iv. ☐ Other \_\_\_\_\_

### 2. Miscellaneous

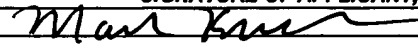
- a. ☐ Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

### 3. Fees

- The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.
- The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 11-1110. I have enclosed a duplicate copy of this sheet.
- i. ☒ RCE fee required under 37 CFR 1.17(e)
- ii. ☐ Extension of time fee (37 CFR 1.136 and 1.17)
- iii. ☐ Other \_\_\_\_\_
- b. ☒ Check in the amount of \$ 790.00 enclosed
- c. ☐ Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Signature		Date	October 5, 2004
Name (Print/Type)	Mark G. Knedeisen	Registration No.	42,747

### CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Signature		Date	
Name (Print/Type)		Date	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

PATENT  
00337

<b>In re Application of: Stefanik.</b>	)	
	)	<b>Examiner: D. Previl</b>
<b>Serial No.: 09/751,468</b>	)	
	)	<b>Art Unit: 2632</b>
<b>Filing Date: December 29, 2000</b>	)	

**INFORMATION DISCLOSURE STATEMENT FILED IN ASSOCIATION WITH A  
REQUEST FOR CONTINUED EXAMINATION UNDER 37 C.F.R. § 1.114**

Applicant notes that although the cited references may be relevant to the examination of the above-referenced application, under 37 C.F.R. § 1.97(h), the filing of this *Information Disclosure Statement by Applicant* "shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b)."

**COPY**

Applicant further notes that the filing of this *Information Disclosure Statement* by Applicant is not an admission that the references cited herein constitute prior art under 35 U.S.C. §§ 102-103 with respect to the captioned application.

Pursuant to 37 C.F.R. § 1.97(b)(4), Applicant respectfully requests consideration of this *Information Disclosure Statement* by Applicant because this *Information Disclosure Statement* by Applicant is being submitted before the mailing of a first Office Action after the filing of a RCE under 37 C.F.R. § 1.114.

Respectfully submitted,

Date: October 5, 2004



Mark G. Knedeisen  
Reg. No. 42,747

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>		
				Application Number	09/751,468	
				Filing Date	December 29, 2000	
				First Named Inventor	John R. Stefanik	
				Art Unit	2636	
				Examiner Name	D. Previl	
Sheet	1	of	1	Attorney Docket Number		00337

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
		5,353,016	10-04-1994	Kurita et al.	
		5,410,326	04-25-1995	Goldstein	
		5,625,608	04-29-1997	Grewe et al.	
		5,650,831	07-22-1997	Farwell	
		5,721,583	02-24-1998	Harada et al.	
		5,835,864	11-10-1998	Diehl et al.	
		6,069,672	05-30-2000	Claassen	
		6,223,348	04-24-2001	Hayes et al.	
		6,236,395	05-22-2001	Sezan et al.	
		6,484,011	11-19-2002	Thompson et al.	
		6,532,592	03-11-2003	Shintani et al.	
		6,603,420	08-05-2003	Lu	
		6,703,962	03-09-2004	Marics et al.	
		US2001/0021998	09-13-2001	Margulis	
		US2001/0000826	05-03-2001	Bellamy	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 1 039 772 A1	09-27-2000	Noguchi et al.		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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